CHAPTER 78

Experimental and Numerical Study
on Solitary Wave Breaking

Hitoshi Nishimura[1] and Satoshi Takewaka[2]

Abstract

Interior flow fields under drastically transform-
ing solitary waves were visualized and recorded using a
high-speed video system. Flow velocities were estimated
by tracing fluid particles through spatial correlation
analysis of video images. A Lagrangian equation system
was successfully employed to numerically simulate two-
dimensional wave transformations in a vertical plane.

Introduction

In these years, remarkable progresses have been
achieved in techniques for analyzing nearshore wave
transformation. However, our knowledge on the fundamen-
tal mechanism of wave breaking is rather limited as well
as on after-breaking wave transformation. Existing
breaking indices are by no means enough for application
to a complicated field of composite and/or irregular
waves. For future study of this problem, introduction of
new methods is of particular importance in both experi-
mental and theoretical approaches.

Since wave transformation such as breaking is
quite unstable, exactly the same phenomenon cannot be
reproduced even in a well-designed wave flume. It is
therefore difficult to obtain an entire picture of
interior fluid motion by repeating velocimeter measure-
ments. At least, the accuracy of thus obtained velocity
distribution cannot be sufficient for succeeding estima-
tion of pressure, vorticity, and so forth. In this

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[1] Professor, Inst. of Eng. Mechanics, Univ. of Tsukuba,
Tsukuba, Ibaraki, 305, Japan.
[2] Research Associate, Dept. of Civil Eng., Tokyo Inst.
of Tech., O-okayama, Meguro, Tokyo, 152, Japan.

context, observation and measurement with visual devices
are much more promising.

        On the other hand, a Eulerian equation system is
normally employed in computational approach to two-
dimensional fluid motions. Numerical schemes in the
Eulerian framework, however, have a weakness in handling
moving boundaries. Note that the fluid motion under a
wave is essentially prescribed by surface conditions and
that the surface profile rapidly deforms under a break-
ing condition. Although the Lagrangian equations have
some disadvantages in particular in their numerical
integration process, they may provide a useful means for
wave transformation analysis, allowing much more rigor-
ous treatment of surface boundaries.

Methods of Picture Analysis

        Techniques of flow visualization and video image
analysis are employed here to investigate the hydraulic
mechanisms of solitary wave runup on a vertical wall and
its breaking on a uniform slope. Once if a precise
velocity field in a wave is obtained, then corresponding
distributions of pressure, vorticity, etc., will be
easily deduced.

        A conventional method of neutral buoyancy float
tracing is not quite appropriate for such a purpose. It
is not easy to keep the floats with finite sizes in
suspension and they may not move in the same manner as
water particles. The number of floats is limited for
computerized tracing and, furthermore, their location
cannot be well controlled. A flow field is more conven-
iently visualized by densely scattering small solid
particles in the fluid. In this case, flow velocity at
an arbitrary position is estimated from the variation of
a local brightness pattern through either temporal or
spatial correlation analysis.

        Figure 1 describes the principle of temporal
correlation analysis (for example, Miike et al., 1986),
in which time-series data of brightness variations at
adjacent two points are compared. Time-lag between the
variations is considered to be the traveling time of a
water particle for the distance between these two
points. The flow direction can also be detected since
the correlation will be highest between two points along
a local path-line. This method is suitable to real time
measurement of flow velocity at a fixed point, but is
not applicable to strongly unsteady flows, requiring
steady shift of brightness variation for a finite time
interval.

The spatial correlation analysis (for example, Kimura and Takamori, 1986), in principle, is more similar to the normal float tracing. A recorded image of visualized fluid at each time is converted into a matrix of digital brightness gradation data at all the pixels. A small square body of fluid is specified by a reference frame fixed in a matrix, whereas a test frame with the same size is moved in another matrix for an adjacent time as shown in Figure 2. The spatial correlation between brightness patterns in these two frames is evaluated in terms of the correlation coefficient R defined by
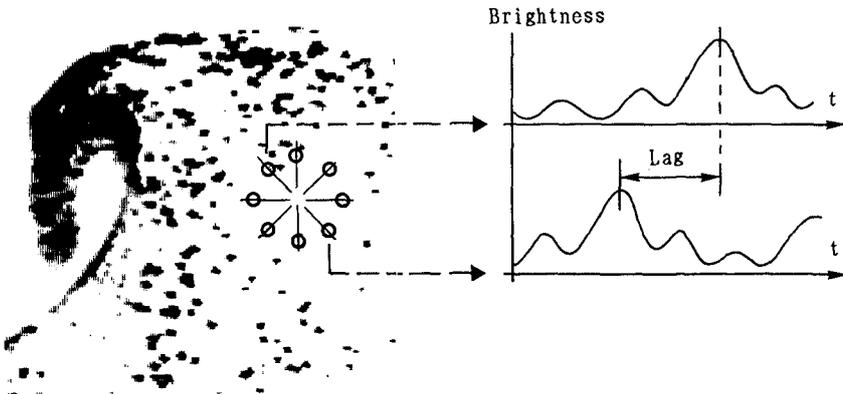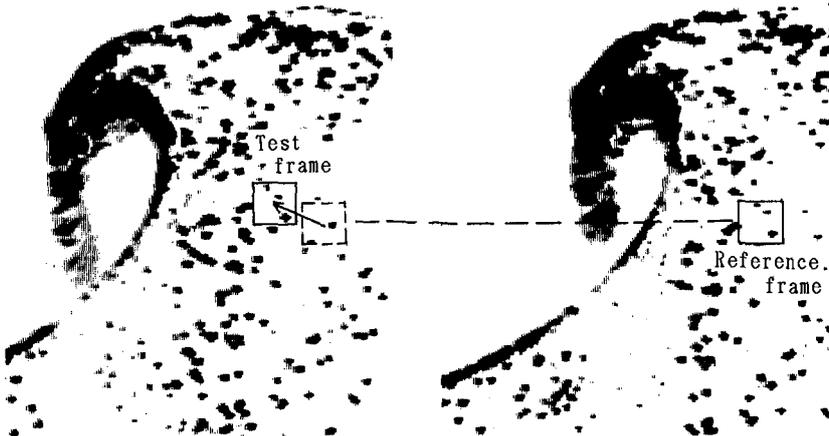

Figure 1. Temporal Correlation Analysis

Figure 2. Spatial Correlation Analysis

$$R = \sum(m_i-\overline{m})(n_i-\overline{n})\Big/\sqrt{\sum(m_i-\overline{m})^2(n_i-\overline{n})^2} \qquad (1)$$

where m_i and n_i represent the brightness gradations at the i-th pixels in the reference and test frames, and $\sum$ and $\overline{}$ respectively denote summation and average over the frames. Higher correlation will be obtained as the test frame gets closer to the new location of the fluid body in question. Spatial displacement of the fluid body during a prescribed time interval is thus estimated. This method is adopted in the following, since it is obviously applicable even to unsteady flows.

When brightness variation is smooth both in time and in space, introduction of gradient method is recommended to notably reduce the computational load for the above-explained fitting test. Provided that the apparent brightness of a water particle is kept unchanged as it moves, the total derivative of the brightness B should vanish: that is,

$$dB/dt = B_t + UB_x + VB_y \qquad (2)$$

where t is the time, x and y are the horizontal and vertical coordinates, U and V are the velocity components in the x- and y-directions, and suffices denotes partial derivatives. The above relationship gives the flow direction from temporal and spatial gradients of brightness.

It should be also noted that the angle of fluid particle rotation can be directly detected by rotating the test frame and seeking for better fit, where the brightness values have to be interpolated for the pixels in the rotated frame. The local vorticity can be thus estimated possibly more accurately than from velocity gradients. Pre-processing of image data using filters may be helpful in these advanced analyses (Huang, 1981).

Experimental Setup and Data Processing

For each case of experiment, either a vertical wall or a uniform slope were installed in a wave flume 17m long, 40cm wide and 60cm deep. A solitary wave was generated by a single forward motion of a piston-type wave board driven by compressed air. In order to lessen the effect of parallax in video recording, the flume width was reduced to 10cm by a partition wall with a gradual contraction in front of the wave generator.

The time-scale of the present experiments required the use of high-speed monochromatic video system, by which 1000 scenes can be recorded per second. A scene was resolved into 256x256 pixels when converted to a

matrix of digital brightness gradations. Flow was
visualized by scattering yellow polyethylene beads with
diameter of roughly 0.5mm in the water. The beads are
originally heavier than water, but their specific
gravity is adjustable by heating because of gas contain-
ment. The density of bead distribution was determined on
trial and error basis so as to produce the best video
images. The material of background wall and the direc-
tions of lighting had also to be carefully decided.
Reflected lights from water surface and basin walls
badly disgrace the reliability of image data.

Figure 3 shows the outline of data acquisition
and processing system, where the arrows indicate the
flow of data. A set of digitized image data is enormous
in its volume, while a high-speed video tape can be
rewound only several times. Obtained analogue data,
therefore, were first copied on a normal tape, and only
necessary parts of the data for each analysis were
stored on a hard disk after A-D conversion. For effi-
cient processing of data by a micro-computer, it is
desirable to write programs in a machine language.

Results of Experiments

Photo 1 shows video images describing runup and
return flow motions of a solitary wave on the vertical
wall. This can also be regarded as the formation process
of a standing wave. It was observed that the lower layer
water starts to fall slightly before the maximum runup
height is reached. A very slender runup profile is thus
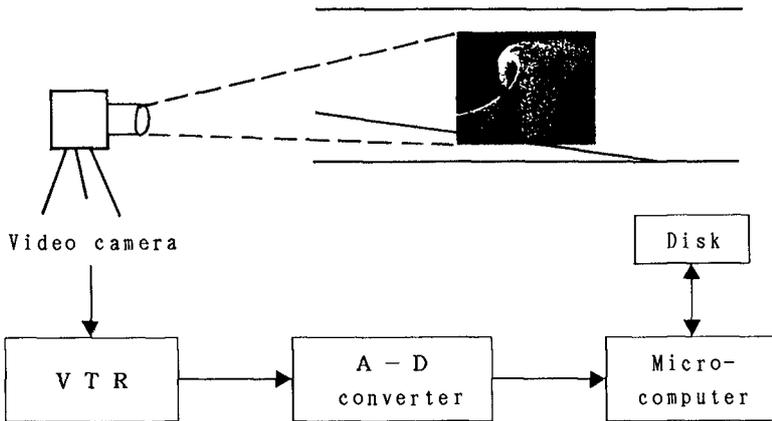formed. After that, the crest water gets into nearly

Figure 3. Data Acquisition and Processing System

free fall motion and plunges into lower water layer,
yielding a vortex motion at the foot of the wall. Slow
replay of video record in fact well exhibit such details
of rapid wave deformation. A result of image analysis is
given in Figure 4, which shows an instantaneous flow
field in the rising stage. Obvious misevaluations of
velocity appear at several points in the figure. In the
fitting tests, the frame size of 9x9 pixels were
adopted, and displacements of water particles were
evaluated in pixel unit. More precise velocities will be
obtained by estimating sub-pixel fractions of displace-
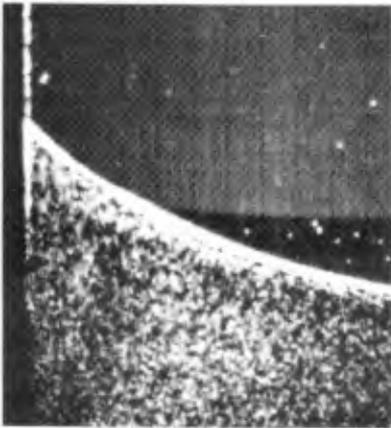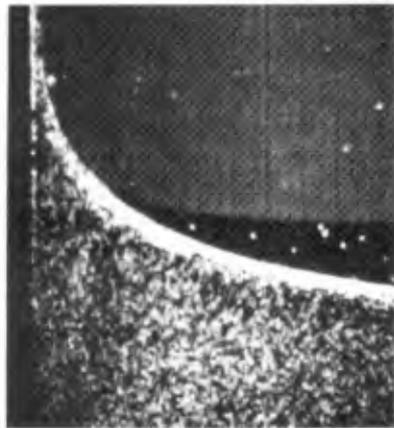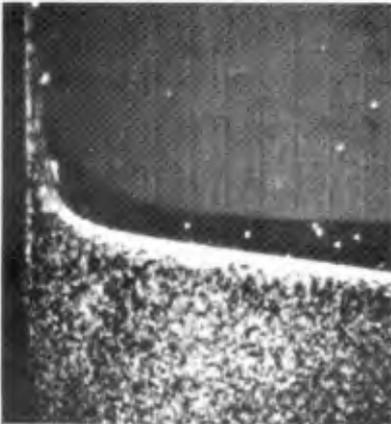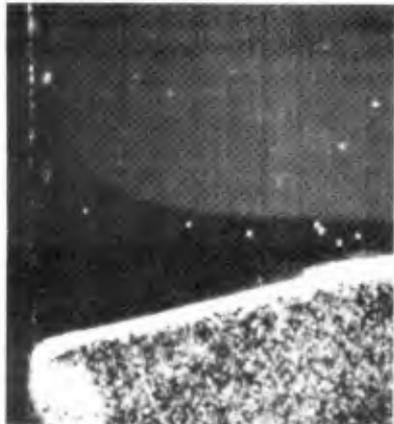ments through two-dimensional interpolation.

(a) t = 6.46s   (b) t = 6.56s

(c) t = 6.64s   (d) t = 6.84s

Photo 1. Solitary Wave Runup on a Vertical Wall

Photo 2   shows a visualized breaking motion of a
solitary wave on the 1/20 slope. A shadow region appears
under the overturning wave crest, since light source was
located   on the upper flange of wave flume. Figure 5   is
an   example   of obtained velocity   field.   The   velocity
vectors   were plotted only for the points   where   larger
correlation   coefficients than 0.7 was obtained   in   the
fitting   tests.   This criterion of   minimum   reliability
could not be attained for the shadow region,   indicating
the fatal importance of lighting technique for_ thorough
estimation of velocities over the whole region.

Lagrangian Equation System

For   theoretical treatment of fluid motion   in a
vertical   plane,   it is here assumed that the   fluid   is
inviscid   and   incompressible. A further   assumption   is
that only the gravity is exerted as an external force.

The continuity equation in the Lagrangian frame-
work is given as

$$X_a Y_b - X_b Y_a = J \qquad (3)$$

where a and b are the Lagrangian parameters to identify
a water particle, X and Y are the horizontal and verti-
cally upward coordinates to indicate the location of the
water   particle   at an arbitrary time, J is   at   most a
function of a and b, and suffices again denotes   partial
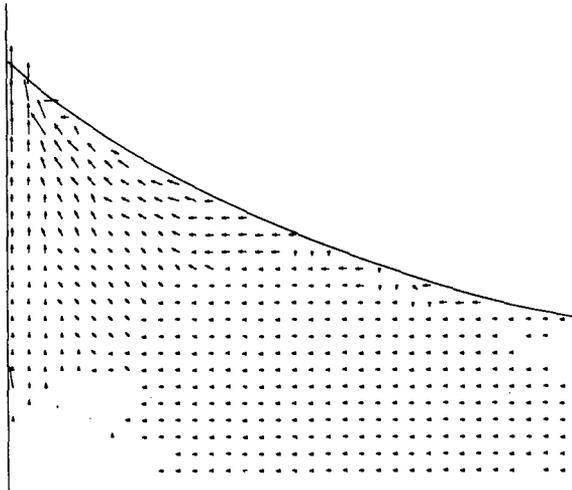derivatives.   The above equation is differentiated   with

Figure 4. Observed Flow Velocities in a Solitary
Wave Running Up on a Vertical Wall

(a) t = 5.140s

(b) t = 5.165s

Photo 2. Solitary Wave Breaking on a Uniform Slope

respect to time t to yield

$$U_a Y_b - U_b Y_a - V_a X_b + V_b X_a = 0 \qquad (4)$$

in which the velocity components U and V are simply related to X and Y as

$$U = X_t , \quad V = Y_t \qquad (5)$$

On the other hand, the momentum equations are written as

$$X_{tt} X_a + (Y_{tt} + g) Y_a = -P_a / \rho \qquad (6)$$

$$X_{tt} X_b + (Y_{tt} + g) Y_b = -P_b / \rho \qquad (7)$$

where P is the pressure, g is the gravitational accele-ration, and ρ is the fluid density. Elimination of force terms in the above equations through cross-differentiation and single integration of the obtained equation with respect to time lead to the following law of vorticity conservation.

$$U_a X_b - U_b X_a + V_a Y_b - V_b Y_a = C \qquad (8)$$

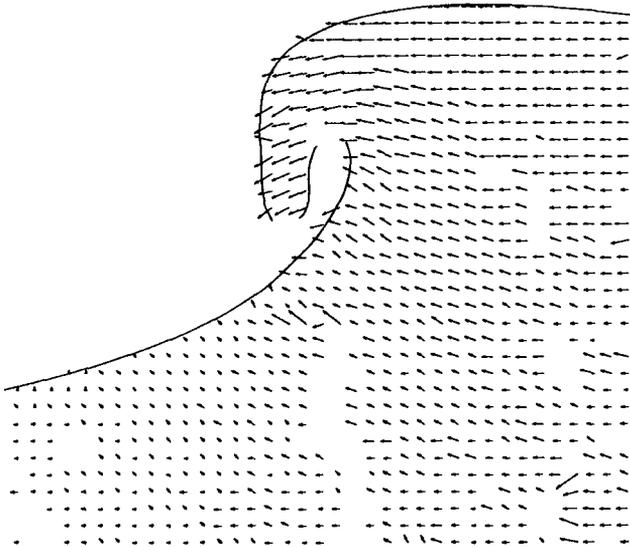where C is an arbitrary function of a and b.

Figure 5. Observed Flow Velocities in a Breaking
        Solitary Wave

For simplicity, a and b are defined as the posi-
tional coordinates of a fluid particle at a reference
time t=0 when the fluid is at rest. lt is most conven-
ient to define the coordinate system in such a way that
either a or b takes a constant value along every boun-
dary. For a solid boundary, the expression of boundary
configuration in terms of X and Y by itself gives a
kinematic condition. Along a free surface, P=0 is
imposed as a dynamic condition, which can be easily
rewritten in terms of the other unknown variables with
reference to the momentum equations (6) and (7).

Numerical Simulation Using Lagrangian Equations

Numerical analysis with experimental verification
is a useful means for investigating the detailed dyna-
mics of wave transformation. Behavior of surface waves
is essentially governed by the boundary conditions at
the free surface. Although the Lagrangian coordinate
system is possessed of a definite advantage in describ-
ing a moving boundary (Chan, 1975), it has been rarely
employed in wave computations, perhaps because of 1)
second order derivatives with respect to time involved
in the equations of motion, 2) nonlinearity of both the
equations of motion and continuity, and 3) weakness
against large deformation of computational grids. These
difficulties, however, became less significant nowadays
as computational technology rapidly progressed. For this
sort of simulation, two alternative formulations are
available.

One is a velocity solution method, where the
continuity equation (4) and the irrotationality con-
straint (8) are discretized and solved simultaneously
with given boundary conditions for velocity components
at all the grid points. The computation proceeds to the
next time step through integration of Eq. (5). lt is
obvious that this method is applicable only to irrota-
tional fluid motion.

The other is a pressure solution method, in which
the original set of equations is directly treated, that
is, discretized pressures in the momentum equations (6)
and (7) are determined so that the resultant deformation
of every fluid particle satisfies the continuity equa-
tion (3). This formulation for the pressure yields in
principle a Poisson-type equation, which is numerically
solved through easier operation of matrices with a
smaller dimension. Furthermore, the pressure formulation
is more flexible in taking into account secondary
factors such as fluid viscosity and surface tension. A
shortcoming of this formulation lies in weaker const-
raint against the generation of non-physical vorticity,

which often results in computational instability of
checkerboard-split type.

It proved from trial computations of the solitary
wave transformations that two methods mentioned above
yield no significant difference in their results. Com-
puted distributions of velocity and pressure for the
case of solitary wave runup are shown in Figure 6, where
both the coordinates and pressure are nondimensionalized
with the still water depth as length-scale. Water parti-
cles are either at rest or slowly falling except near
the wave crest as observed in the corresponding experi-
ment. Extremely mild pressure gradient in the upper
layer gives a theoretical background to the observed
free-fall motion of crest water. The computation fails
immediately after this as fatal distortion of computa-
tional grids emerges in the crest region.

Computed velocity and pressure in the breaking
wave are shown in Figure 7. Mild pressure gradient in
the crest region implies less dynamical interaction
between water particles. Further decrease in the local
pressure will lead to a free motion of the crest water
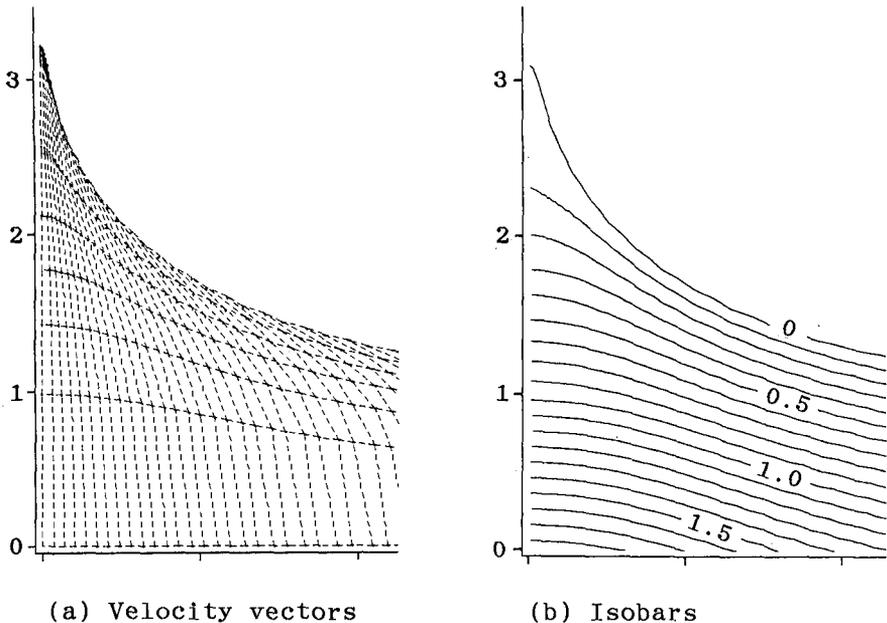and possibly to air entrainment. On the contrary, verti-

(a) Velocity vectors   (b) Isobars

Figure 6. Computed Distributions of Velocity and Pres-
sure in a Solitary Wave Running Up on a Vertical Wall

and possibly to air entrainment. On the contrary, verti-
cal pressure gradient at the foot of the breaker front
is rather steep, providing a good circumstance for
sediment suspension. In general, numerical simulation
excluding the effect of surface tension allows unrealis-
tic formation of a sharp plunging nose.
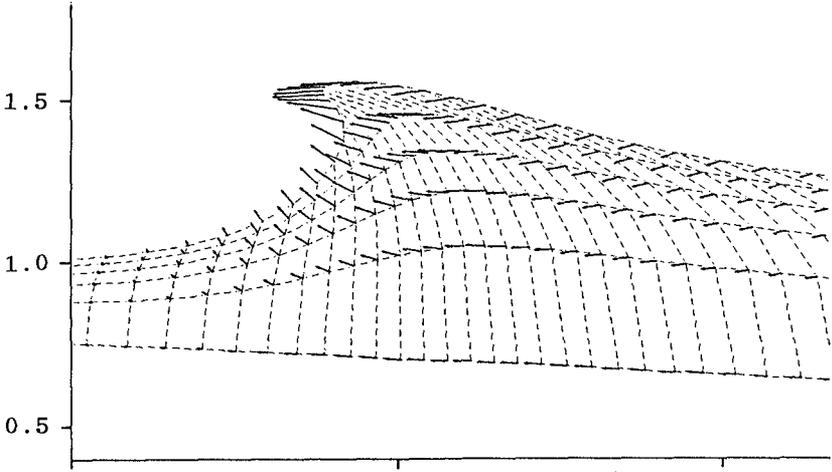
(a) Velocity vectors
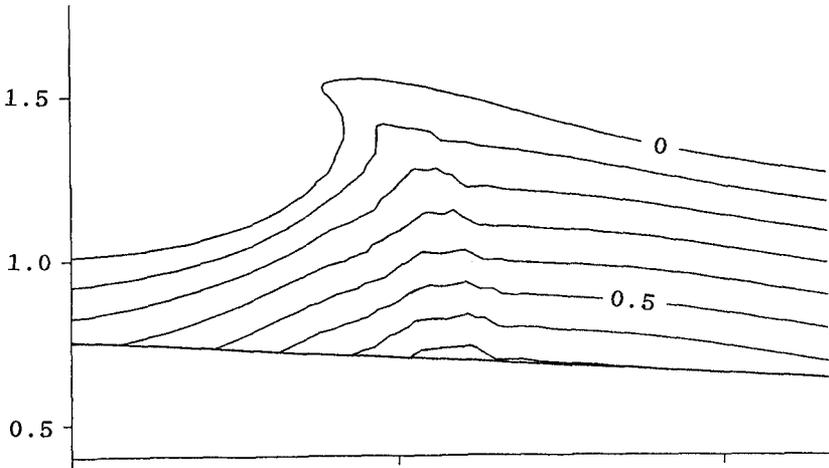
(b) Isobars

Figure 7. Computed Distributions of Velocity and Pres-
        sure in a Breaking Solitary Wave

Concluding Remarks

For better understanding of wave breaking pheno-
mena, combined experimental and theoretical investiga-
tions are required particularly on their dynamical
aspects with more attention to the process of local
pressure reduction. The spatial correlation analysis of
video images provides a useful means in such studies as
well as the numerical analysis in the Lagrangian frame-
work. The accuracy of velocity estimation by means of
image analysis is expected to largely improve in the
near future as video systems with higher resolution
become available.

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