# **CHAPTER 29**

Refraction of Finite-Height and Breaking Waves

by James R. Walker, Ph.D., P.E.\*

## ABSTRACT

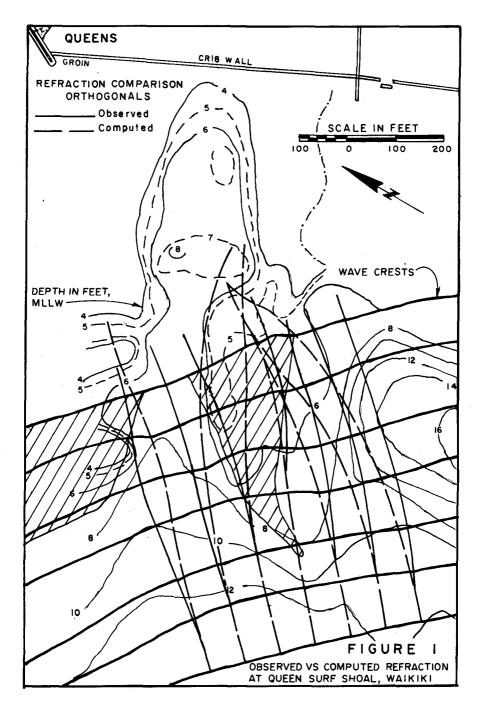
The primary objective of this study was to ascertain the influence of wave height and breaking on wave refraction over a three-dimensional shoal. The subject wave transformations were studied in an hydraulic model. Wave shoaling, decay in the breaker zone, and phase velocities were analyzed in a base test series over a bottom slope of 1:30. A second test series was conducted over a three-dimensional shoal. Wave patterns were photographed and wave heights and celerities were measured. The measurements were compared with wave refraction patterns and coefficients computed by analytical methods. Wave shoaling observed over the constant 1:30 slope was 25 percent greater than predicted by Airy theory at the breaking point for wave steepness  $H_0/L_0 = .030$  and 50 percent greater than predicted for  $H_0/L_0 = .002$ . Shoaling measurements were compared with other empirical data sets, confirming the inadequacy of commonly used practice using linear wave theory near the breaker zone. The celerity measurements indicated that the non-breaking celerity was given by  $C = (1 + .25 \text{ H/d})C_a$ , where  $C_a$  is the Airy celerity. The discussion and results give a basic understanding of wave refraction near the breaker zone, supplementing analytical papers on refraction procedures using finite amplitude wave theories.

#### INTRODUCTION

The topic for this study evolved from field investigations of wave transformations over recreational surfing shoals in Hawaii, Walker, Palmer, and Kukea (1972) noted through observation of aerial photographs that, in some cases, breaking waves had a diverging pattern over the centerline of the shoal where linear refraction theory predicted a strong convergence. Figure 1 shows an observed wave at 5-second intervals propagating over Queens surf shoal in Waikiki. Orthogonals drawn from the wave crests are compared with those computed by linear refraction theory. The observed orthogonals tended to be considerably less affected by the bathymetry than were the theoretical orthogonals. This observation raised several questions concerning the applicability of extending refraction analysis into the breaker zone, especially over an irregular shoal. Whalin (1971) observed effects of finite height altering wave refraction patterns, but focused his attention on diffraction. Weigel and Arnold (1957) state that refraction theory is valid within two to three wave lengths seaward of the breaking position. Unfortunately, the coastal engineer often extends the limits of theory

\*Moffatt & Nichol, Engineers, Long Beach, California.

## **COASTAL ENGINEERING-1976**



into the surf to acquire the required design wave or frequency of occurrence of a given wave height.

The purpose of this study is to determine the influence of finite height and breaking on wave refraction over a three-dimensional shoal for application to surf shoal design and prediction of the influence of the shoal on adjacent beaches. The effects of diffraction, waveinduced currents, reflection, and energy dissipation other than breaker decay are assumed negligible in this study. This study was conducted in an hydraulic model of a shoal of specific shape; however, the results also have general application in understanding the nature of error and order-of-magnitude of error when conventional refraction techniques are employed near the breaking region.

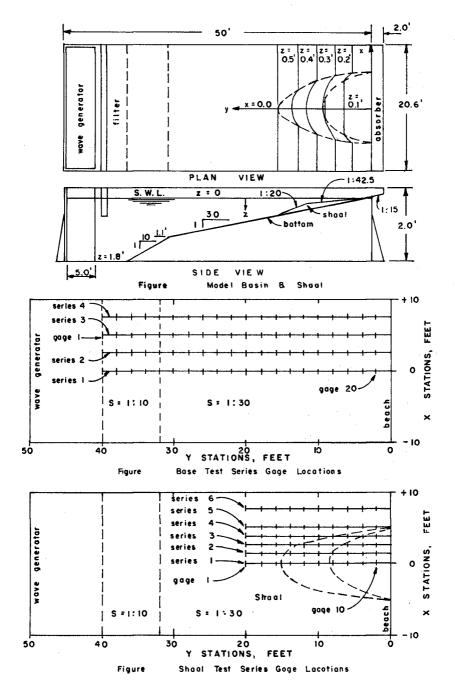
#### THE MODEL

The primary objective of the model was to study the transformation of waves propagating from deep water into the surf zone over a threedimensional shoal. Several compromises were made in design of the model basin and test shoal. Since wave propagation was to be followed through the surf zone, the scale should be as large as possible to reduce scale effects due to viscous dissipation and air entrainment. The bottom slope should be constant for a distance of at least a wave length seaward of the test shoal according to criteria developed by Camfield and Street (1966). The bottom slope should be representative of conditions typically found in nature. The wave generator should be located in water depth to wave length ratio d/L > .1 such that wave shoaling could be measured from relatively deep water and to ensure that secondary wave crests described by Galvin (1968) would not be generated.

Space limitations restricted the basin length to 50 feet and the wave generator established the basin width at 20.6 feet. Figure 2 shows the model basin dimensions. The basin side walls were 2 feet and the water depth was 1.8 feet. The test shoal was modeled after a general concept of a surf site discussed by Walker and Palmer (1971).

The bathymetry of the test shoal was required to:

- a. induce a theoretical wave orthogonal convergence by application of linear refraction theory;
- b. induce a wave to break in a form similar to that at a recreational surfing shoal;
- c. produce a spilling to plunging breaker-type;
- have minimum reflection effects and minimum effects from side walls or beach;
- e. have sufficient water depth to minimize scale effects induced by dissipation at the bottom boundary and in the turbulent breaking region; and,
- f. have the parameters under study be of a scale sufficient to deduce significant conclusions.



The selected shoal shape was a truncated cylinder inclined on a 1:20 slope relative to the horizontal. The cylinder was truncated on the bottom by the 1:30 basin slope and on the top by a 1:42.5 slope. The plan view of a truncated cylinder transcribes an ellipse. The semi-major axis of the 1:30 slope was 15 feet and was oriented parallel to the direction of wave approach. The semi-minor axis was 6.71 feet on a horizontal projection. The shoal and coordinate system are shown in Figure 2. The toe of the shoal starts at station x=0 feet, y=15 feet and intersects the shoreline at station y=0 feet,  $x=\pm5$  feet. The 1:42.5 slope starts at the shoreline to form a plane which also transcribes an ellipse. The 1:42.5 slope intersects the 1:20 slope at z=0.222 feet at station y=9.44 feet, x=0 feet. The bathymetry of the model and shoal for y less than 33 feet is give by three equations:

1. 
$$z_1 = y/30$$
, for  $y < 33$ ,  $/x/ \ge 5.0(1 - y^2/15^2)^{1/2}$ 

2.  $z_2 = (y/20) - (15/20)(1 - x^2/45)^{1/2} + 0.5$ , for y < 15,  $/x/ \le 5.0(1 - y^2/15^2)^{1/2}$ 

3.  $z_3 = y/42.5$ , for  $y \le 9.44$ ,  $/x/\le 5.0(1. - y^2/9.44^2)^{1/2}$ 

The depth, z, is everywhere less than or equal to the  $z_1$  plane and greater than or equal to the  $z_3$  plane. The curved portion of the shoal is described by  $z_2$ .

The model basin and shoal were constructed of reinforced, finished concrete. Measurements of the bathymetry after construction indicated that the 1:30 bottom slope was accurate to within  $\pm 0.005$  feet and that the test shoal was accurate to within  $\pm 0.010$  feet.

Waves were generated by a 20.5-foot-long plunger-type wave generator. The wave period was adjusted on a variable speed crank and the amplitude of the waves was changed by adjusting the stroke of the plunger. A wave filter was placed in front of the generator to reduce high frequency noise produced by the generator. A wave-absorbing beach was placed at the shoreline along x = 0 to reduce the entry of reflections into the measurement area. The absorber comprised a 1:15 beach slope covered by two layers of 1/4-inch stone. The stones extended on the 1:30 slope to y = 1.8 feet.

Wave heights were measured with double-wire resistance-type wave rods. Signals were displayed on a light beam oscillograph recorder. Wave crest patterns were photographed from a platform located 20 feet above the model.

Two series of tests were conducted using 15 test waves in each series. The base test series was conducted over the constant sloping bottom to calibrate the model and waves. The shoal test series was conducted using the same generator settings as the base tests to monitor the changes in wave response induced by the bathymetry.

The 15 test waves selected for study had periods of 1.16, 1.67, 2.00, and 2.33 seconds. Four wave generator settings were used with each wave period with the exception that the four-inch half-stroke was not used with the 1.16-second period. This latter wave overtopped the

basin walls. The tests covered a range of waves which broke approximately between station y = 2 feet, depth z = 0.067 feet, and station y = 12.5feet, z = 0.42 feet on the constant slope. The breaker heights ranged from 0.08 feet to 0.38 feet.

Eighty-one gage locations were used for the base tests. Eighty gages were located on I-beams along x = 0, 2.5, 5.0, and 7.5 feet and at y = 2, 4, 6,...40 feet. Figure 3 shows the locations of the gages during the base tests. In addition to the evenly spaced gages, a portable gage was used during a separate run of the group of test waves to measure breaker heights and locations.

Sixty-one gage locations were monitored in the shoal tests. The gages were located at stations x = 0, 1.25, 2.5, 3.75, 5.0 feet, and 7.5, and at y = 2, 4, 6,...20 feet. Figure 4 shows the location of the gages for the shoal series of tests. An additional group of test waves was run with a portable gage to measure the initial breaker height on the centerline of the shoal at x = 0 feet.

Wave rod calibrations were taken prior to and after each group of test waves had been run. The gage calibrations were slightly non-linear over a large range of submergence. A second order polynomial was fit to seven calibration points by the method of least squares to describe the calibration curve. Calibration on gage 13, located at station y = 16 feet on the wave test, was unstable and the results were assumed to be unreliable.

Test procedures consisted of running the wave generator for approximately one minute while the recording was made. The recording chart speed was 1/4 inch per second for the series of gages from stations y =22 feet to y = 40 feet, and one inch per second for the gages located from stations y = 2 feet to y = 20 feet.

Small variations in the generated wave height and tolerances in basin depths caused the wave to break non-uniformly across the basin. The variations in wave breaker position induced a current system which influenced the refraction and the breaking patterns. Even on the straight beach, the breaking pattern varied considerably when the generator was allowed to run for an extended period of time. For this reason, measurements were confined to the first five to ten waves, which were relatively unaffected by the induced currents. In most cases, little temporal variation in the wave height was evident. The greatest temporal wave height variations occurred when a gage was located close to the breaking point. The average wave celerity between successive gages was determined by measuring the time differential of crest passage between gages separated by 2-foot intervals.

The results of this investigation may be viewed in terms of dimensionless parameters. However, lengths and times may be scaled for application to coastal design. Scaling of the model was done through use of the Froude modeling relation. Viewed in terms of a model experiment of a prototype surf shoal, the length scale selected in the design of the model was 1:36 and the velocity and time scales were 1:6. The laminar dissipation was calculated by the method of Keulegan (1950) to obtain an estimate of the dissipation in the model prior to wave breaking. Approximately five percent of the energy from non-breaking waves was dissipated by laminar dissipation by the time the wave reached station y = 2 feet. Horikawa and Kou (1962) indicated that wave height decay in the breaking region was greater in the model than in the prototype. When applying these data to a prototype situation, these factors must be taken into account. Wave energy reflecting from the beach was computed by the Miche (1951) formula. The maximum calculated reflected wave height,  $H_T$ , was less than 14 percent of the in-cident wave.

The accuracy of the model procedures was estimated from a preliminary test series. The wave rods were spaced 2 inches apart and strung across the basin at y = 26 and 27. Eight test waves were run to determine the variation in wave height. The measured wave heights were within a ±10 percent deviation at two standard deviations. Wave height variation across the basin introduced an additional ±5 percent error. Wave celerity was estimated to be within 10 percent error due to tolerance in positioning the wave gage and in reading the zero phase position on the wave.

#### RESULTS AND ANALYSIS

## Wave Shoaling

The base test series was conducted to study transformations of waves propagating from intermediate water depths over a sloping bottom into the breaking region in shallow water. The primary topics investigated were wave height growth due to shoaling water depths and the influence of wave height and wave breaking on wave celerity. Measurements were compared with values predicted by application of linear wave theory commonly used in refraction analysis.

The characteristics of incident test waves and their breaking properties measured in the model are summarized in table 1. Figure 5 shows a typical wave height profile as a function of distance offshore. The figure indicates the typical scatter and accuracy of the data. The breaking position was measured by a single gage. An equivalent deepwater wave height was determined by application of the Airy shoaling coefficient to the wave measurements at gages located at Stations 26, 28, 30 and 32. Utilizing the calculated deepwater wave height and the Airy shoaling coefficient, the predicted wave height is shown by the dashed line in Figure 5. The wave shoaling measured in the model increases much more rapidly than the predicted wave shoaling. This increase is most noticeable just prior to the wave breaking position. The slope of the measured wave height profile appears to increase significantly when  $H/d \ge .25$ .

Wave shoaling from each of the tests was plotted in dimensionless form as a function of  $d/L_0$ . The data were smoothed and shoaling curves are shown in Figure 6 as a function of  $H_0/L_0$ . The data are compared with Airy shoaling theory. The rate of shoaling increases rapidly just prior to breaking. The low steepness waves,  $H_0/L_0 = .002$ , break at a

<del>,1</del>	
ы	
BL	
4	
Eч	

SUMMARY OF TEST WAVES

 $H_{\rm b}/d_{\rm h}$ .942 893 904 .832 .009 ..066 l.126 1.240 .980 L.005 1.144 .041 1.267 1.131 .931 Breaking Wave Parameters, predicted\* <sup>, 0</sup>Н/дн Base Test 1.38 l.16 1.38 2.16 2.51 2.05 1.78 1.52 1.06 1.86 1.72 l.49 L.29 1.81 1.61 measured  $H_{b}/H_{o}$ 1.52 1.92 2.29 2.42 2.25 2.00 1.88 1.38 2.08 2.19 1.53 1.23 1.67 1.89 1.72 X = 0.0station Shoal Tests 10.0 11.0 12.5 8.0 7.3 6.0 5.0 7.5 8.3 9.0 10.5 10.0 9.4 9.1 10.1 ft. Breaking Wave Properties. Я .163 .139 .156 .198 .216 .230 .269 .279 .215 .266 .306 .111 .264 097 .271 Η ft. station 6.0 9.8 9.0 12.2 Base Tests 4.5 4.0 3.5 3.0 5.5 6.3 7.5 7.2 6.5 8.5 9.0 ft. × .119 .105 .083 .168 .195 236 .250 .320 .312 245 .285 .343 .379 304 .141 Η ft. 0.00476 0.00885 0.03759 0.00713 0.01738 Ho''Lo 0.01334 0.00437 0.00224 0.00123 0.00267 0.02595 0.00403 0.00546 0.01301 0.00981 Height & Steepness Deep-Water Wave 20.4 14.2 20.4 27.8 27.8 20.4 14.2 27.8 27.8 20.4 14.2 6.9 14.2 6.9 6.9 ц. Г. 0.062 0.045 0.125 0.180 0.185 0.145 0.112 0.152 0.200 0.092 0.034 0.097 0.247 0.074 0.261 но ft. 1.67 2.00 2.33 2.00 2.33 2.33 1.67 2.00 2.33 2.00 1.17 1.67 1.17 1.17 1.67 sec. Wave Generator H. Setting 1/2 Stroke Generator in. Test No. 10 Ś œ δ 12 13 14 15 2 ŝ **<**1 11

514

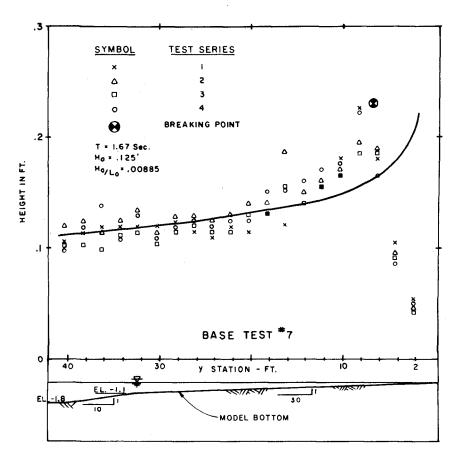


FIGURE 5 - WAVE SHOALING, TEST 7

height 50 percent greater than the Airy shoaling coefficient predicts. The higher initial steepness waves,  $H_0/L_0 = .03$ , break at a height 25 percent greater than Airy theory predicts.

Figure 6 represents an empirical set of wave shoaling curves for waves propagating over a 1:30 slope. The significance of these results is that the waves in shallow water shoal at a much greater rate than Airy theory predicts. Consequently, application of Airy theory in shallow water predicts a significantly lower wave height than observed in this model. These results are similar to those obtained by Iwagaki and Sakai (1972). The wave shoaling curves given in Figure 7 are compared with the shoaling curves based on cnoidal wave theory developed by Svendsen and Kjaer (1972) and stream function theory by Dean (1974). The comparison shows similar trends, height of shoaling as a function of  $H_0/L_0$ . Cnoidal theory predicts a shoaling height less than unity for  $d/L_0$  greater than .05. This is not confirmed by observation. Cnoidal theory also appears to predict a slightly greater rate of wave shoaling than measured for  $H_0/L_0$  less than 0.01. However, the comparison is not strictly valid since  $H_0$ ' rather than  $H_0$  was measured. Stream function theory compares well with the expected set for  $H_0/L_0 \ge .01$  with the exception that the published data uses the breaker index  $H_b/d_b$  = .78 compared to the observed index = 0.95. This may be attributable to the effect of bottom slope not accounted for in the theory. For  $H_0/L_0 < .01$ , the stream function shoaling is significantly greater than the observed. This is attributed in part to the estimate of Ho made in the model at the low d/Lo region. The wave had undergone more transformation than predicted by Airy theory. Consequently, the indicated  $H_0/L_0 = .002$  curve may in fact be representative of some lower  $H_O/L_O$ . Conversely, the shoaling curves are theoretical over a constant sloping bottom. The breaker index is equal to 1 for the measured data as drawn in Figure 6. Shoaling from deeper water  $d/L_0 > .1$  through breaking for  $H_0/L_0 < .01$ over different bottom slopes requires further research; however, the results of the studies indicate the magnitude of error when Airy theory is invoked. Figures 6 and 7 could be used in design, model, and field studies and in evaluating wave data.

Comparison of measured breaking height to deep-water height with values predicted by empirical data developed by Koh and Le Méhauté (1966) indicate that predicted values are 10 percent to 15 percent lower than measured. The difference between the observed and predicted values is attributed in part to the method of estimating the deepwater wave height. Rarely in the field or model is the deep-water wave measured and directly related to a shallow water breaking wave. Application of a linear shoaling coefficient to a measured wave height introduces a potential error which increases in magnitude with decreasing  $d/L_0$ . The relative breaker height to breaker depth index, B, is also listed in Table 1. The average value of B = .95 for this data set. Application of methods developed by Galvin (1968) predicted an average value of B = .85.

#### Wave Celerity

The average phase velocity,  $\overline{c}$ , between two wave gages was determined by dividing the elapsed time for passage of the wave crest between gages by the distance between the gages. Figure 8 shows typical average

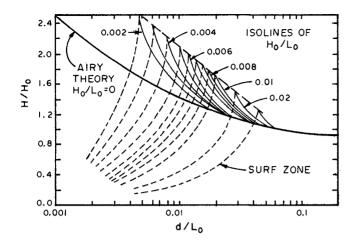
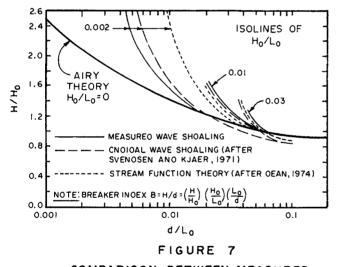


FIGURE 6 - WAVE SHOALING



COMPARISON BETWEEN MEASURED AND THEORETICAL WAVE SHOALING

517

celerities measured in the model in the base tests. The celerities increase as a function of wave height.

The measured celerities were compared with celerities commonly used in refraction analysis by defining a Froude number,  $F_T = \overline{c}/\overline{c}_a$ ,

where  $\bar{c}_a = \frac{1}{y_1 - y_2} \int_{y_2}^{y_1} c \, dy = \frac{1}{y_1 - y_2} \int_{y_2}^{y_1} \frac{gT}{2\pi} \tanh(dk) dy$ ,

where  $k = \frac{2\pi}{L}$ , and  $y_1$  is gage i coordinate.

The Froude numbers are plotted in Figure 8 as a function of H/d. The results indicated a significant departure from Airy theory which would have had a  $F_r = 1$  in all regions. Several theoretical expressions were plotted against the data; however, the simple expression below fits the data through the non-breaking region well:

$$F_r = 1 + 0.25 \frac{H}{d}$$

This expression gives a maximum Froude number of 1.25 at breaking where H/d=1. The curve is applicable to the region prior to breaking. Airy theory predicts celerity approximately 6 percent too low at H/d=.25. This is also the region where the shoaling curve deviated noticeably from the Airy theory.

The Froude number increases with H/d until it exceeds 1.25 at break-The breaking and broken waves are shown in Figure 8 above  $F_r = 1.25$ . ing. The maximum value was  $F_r = 1.4$ . The higher values appear to occur when the wave breaks. A discontinuity in wave phase appears to occur during the plunge of the breaker as the crest curls over the face of the wave. This is a short-duration occurrence, but accounts for observed apparent divergences in wave form in aerial photos and high Froude numbers in Figure 8. Data were insufficient to ascertain a reliable expression for wave celerity in the surf zone. Considering wave decay, scale effects, and the change of phase during breaking,  $c = \sqrt{g(d+H)}$  when H = .78dor  $F_r = 1.33$  gives a reasonable approximation in the surf zone. An analysis was made using wave setup,  $\eta$ , in the surf zone. Setup was included in the velocity term where  $c_b = \sqrt{g(d+\eta)}$ ; however, the analysis did not reduce the scatter of data significantly. After the wave breaks, however, the application of refraction loses some meaning. The wave crest patterns become the only meaningful relation unless wave decay can be modeled more accurately in the surf zone in regions of converging and diverging orthogonals.

#### Shoal Tests - Refraction

The shoal test series was conducted to study the influence of finite wave height and breaking on wave refraction. Comparison of wave crest patterns measured in the model and computed by linear refraction theory are shown in Figure 9. The comparison shows the wave pattern of a wave as it propagates over the shoal. The wave crests and initial surf line are traced over the shoal for test waves 3 and 14. Comparison of the theoretical with the measured refraction patterns shows that the low-height wave represented by test 3 tends to follow the conver-

518

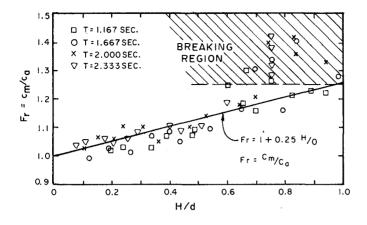
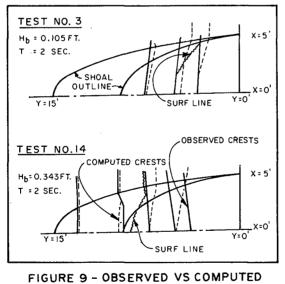


FIGURE 8 - Fr. VS H/d





gent patterns predicted by the theoretical analysis. With increase in wave height represented by test 14, the pattern tends to become divergent over the center of the shoal.

Computed refraction coefficients,  $K_r$ , range from 0.75 to 2.0. The waves approaching the shoal converge toward the centerline over the curved portion of the shoal. The conventional refraction analysis predicts wave concentration over the shoal centerline. The high refraction coefficients are located over the center of the shoal and the low coefficients along the side of the shoal. The observed wave patterns vary considerably as a function of wave height. The most noticeable feature of the observed patterns is the marked divergence in the vicinity of breaking as shown in the large wave in test 14.

The conclusion drawn from these observations is that finite height and wave breaking have a very significant influence on wave refraction over a shoal. The waves with small heights in Tests 1 through 5 converged toward the center of the shoal and conformed in general with linear refraction patterns up to a short distance seaward of breaking. The larger waves, however, tended to diverge. The combination of orthogonal divergence and diffraction, current, and reflection effects reduced the breaker height to less than that over the planar 1:30 slope for the larger waves. The application to design indicates that refraction over the shoal may not necessarily increase the wave height as would intuitively be expected.

#### MODIFIED REFRACTION TECHNIQUE

The influences of wave height and wave breaking were show to affect wave refraction patterns in the hydraulic model. Linear refraction procedures were modified to account for the effects of wave height and wave breaking. The basic algorithm utilized the results of the base tests on celerity and shoaling to construct wave fronts at successive time intervals. Chu (1974) and Skovard and Petersen (1976) have developed computer programs utilizing the finite height theories to predict refraction of finite height, but not breaking waves.

The modified refraction theory is briefly described below using test 7 as an example. The incident wave parameters were  $H_0 = 0.126$  feet and  $L_0 = 14.22$  feet, resulting in  $H_0/L_0 = 0.00885$ . The wave height was determined as a function of depth from Figure 6, neglecting refraction effects by the equation

$$\frac{\mathrm{H}}{\mathrm{d}} = \left(\frac{\mathrm{H}}{\mathrm{H}_{\mathrm{O}}}\right) \left(\frac{\mathrm{H}_{\mathrm{O}}}{\mathrm{L}_{\mathrm{O}}}\right) \left(\frac{\mathrm{L}_{\mathrm{O}}}{\mathrm{d}}\right)$$

The wave celerity in the region prior to breaking was determined by the equation

The wave in the breaking region was determined by  $c=1.33\sqrt{gd}$ . Breaking was assumed to occur at H/d=1. Refracted wave crests were drawn by the wave crest method and refraction coefficients were determined from or-

thogonals drawn through the crests. The method is tedious and not recommended for design practice. The wave front method was also employed using conventional refraction procedures for direct comparison of wave crest patterns and refraction coefficients shown in Figure 10.

The modified technique, which incorporates wave height and wave breaking, predicts a smaller degree of orthogonal convergence in the center of the shoal and a smaller degree of orthogonal divergence along the sides of the shoal. The refraction coefficients determined by using the conventional analysis predicts coefficients as high as 2, whereas the modified procedure yielded a maximum refraction coefficient of 1.25. Both procedures had coefficients = .75; however, a smaller area had values less than .75 in using the modified procedure.

The observed refraction patterns have an orthogonal divergence in the vicinity of the initial breaking region over the center of the shoal. During breaking, the wave crest slides down the front of the wave. This is manifested as a greater local wave celerity. This phenomenon was not modeled in the modified refraction procedure.

### FINDINGS

The findings of this investigation illustrate the importance of considering the effects of finite height and wave breaking on wave transformation near and in the surf zone. The base test series studying the transformation of waves over a 1:30 slope indicated that the observed increase in wave height at the breaker point relative to the deep-water height was 25 percent greater than that predicted by application of Airy theory for  $H_0/L_0 = 0.03$  and 50 percent greater at  $H_0/L_0 =$ 0.002. This result has a significant application when transforming a shallow-water wave to either a deep-water wave from a gage reading or transforming the wave to a breaker position. The result also suggests that model experiments should generate the waves in as deep water as possible, at least as deep as H/d < .25. Simple corrections to Airy theory have been deduced from the data to include the effects of finite height and breaking on wave celerity. In addition, during the breaking process, the lip transforms into a bore resulting in a considerably greater local celerity than the aforementioned correction. The conclusions above are more applicable to long-period wind-generated swell of regular form, as found in the Hawaiian Islands, as opposed to sea or locally generated waves found in the North Sea. Shorter period waves of greater steepness conform better to conventional analysis.

The model tests on the refraction of waves over a three-dimensional shoal illustrated the influence of finite height on wave refraction. The wave orthogonals tend to converge less over a shoal for increasing wave height due to the effects of finite height on wave celerity. This factor becomes important near the surf zone. The waves tend to diverge less over a trough. The influence of finite height and breaking are, therefore, to reduce the effects of refraction. Most existing computer programs using linear theory tend to strive for accuracy of bathymetry interpolation in refracting by the ray equation. Care should be exercised in the application and interpretation of such programs where H/d exceeds about .25 or when c is increased 6 percent over the Airy celeri-

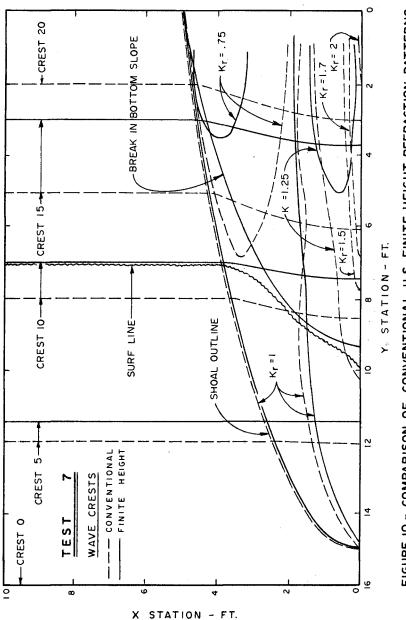


FIGURE 10 - COMPARISON OF CONVENTIONAL U.S. FINITE HEIGHT REFRACTION PATTERNS

**COASTAL ENGINEERING-1976** 

ty. The application of cnoidal theory presented by Skovgaard and Petersen, use of stream function shoaling curves, or a finite height scheme such as presented by Chu appears to be a step in the right direction. However, these schemes are for regular bathymetry. A wave front type method should be developed to more accurately predict finite height along a wave crest.

#### APPLICATION TO DESIGN PRACTICE

Application of a detailed refraction analysis using finite height procedures or corrections to linear analysis can be a time-consuming chore. Linear refraction and shoaling techniques appear valid for H/d <.25. The recommended procedure is to generally employ conventional refraction analysis using Airy theory and select a few critical cases to study in more detail starting at H/d = .25. The study results indicate that in design of a surf shoal similar to the one tested, wave refraction is of secondary importance relative to wave shoaling. The influence of finite height decreases the wave refraction effects considerably over a shoal. This is not a diffraction effect since the wave front pattern which is prescribed by refractions. Effects of waveinduced currents and diffraction are also of importance, but were not studied in this paper.

#### ACKNOWLEDGEMENT

Portions of this paper were extracted from a dissertation submitted to the University of Hawaii, Department of Ocean Engineering, in partial fulfillment of the requirement for the degree of Doctor of Philosophy in Ocean Engineering. The project was funded by the Surf Parameters project, a State of Hawaii funded research project. The author wishes to acknowledge Professors C. L. Bretschneider and F. Gerritsen for their guidance; Mr. J. F. O'Brien, Director of Look Lab; Mr. R. Q. Palmer who inspired the study; and Mr. H. Ho, technician who built the basin and associated appurtenances.

## REFERENCES

- Battjes, J. A., "Refraction of Water Waves", <u>J. of Waterways and Harbors</u> <u>Division</u>, ASCE, Vol. 94, WW 4, 1968.
- Beitinjani, K. I., and E. F. Brater, "A Study of Refraction of Waves in Prismatic Channels", <u>J. of Waterways and Harbors Division</u>, ASCE, Vol. 91, WW 3, 1965.
- Camfield, F. E., and R. L. Street, "The Effects of Bottom Configuration on the Deformation, Breaking, and Run-up of Solitary Waves", <u>Proc. 10th Conf. on Coastal Engineering</u>, ASCE, London, 1968.
- Chu, H. L., "Numerical Model for Wave Refraction by Finite Amplitude Wave Theories", <u>Symposium on Modeling Techniques</u>, San Francisco, California, September 1975.
- Dean, R. G., "Evaluation and Development of Water Wave Theories for Engineering Application", <u>SR-1</u>, U.S. Army Corps of Engineers, CERC, Ft. Belvoir, Virginia, November 1974.
- Galvin, C. J., "Breaker-Type Classification on Three Laboratory Beaches", J. of Geophysical Research, Vol. 73, No. 12, June 1968.
- Iwagaki, Y., and T. Sakai, "Shoaling of Finite Amplitude Long Waves on a Beach of Constant Slope", Proc. ASCE Conf. on Coastal Engineering, Vancouver, B.C., 1972.
- Keulegan, G. H., "Gradual Damping of Solitary Waves", J. Res. National Bureau of Standards, Vol. 40, page 487, 1950.
- Koh, R. C. Y., and B. Le Méhauté, "Wave Shoaling", <u>JGR</u>, Vol. 71, No. 8, 1966.
- Miche, R., "Le Pouvoir Reflechissant des Ouvrages Maritimes Exposés à l'Action de la Houle", <u>Annales des Points et Chaussees</u>, Vol. 121, pages 285-319, 1951.
- Skovgaard, O., and M. H. Petersen, "Refraction of Cnoidal Waves", <u>Proc.</u> <u>15th Conf. on Coastal Engineering</u>, Honolulu, Hawaii (Draft), July 1976.
- Svendsen, I. A., and O. Brink-Kjaer, "Shoaling of Cnoidal Waves", Proc. 13th Conf. on Coastal Engineering, Vancouver, B.C., 1972.
- Walker, J. R., and R. Q. Palmer, "A General Surf Site Concept", <u>Look Lab</u> TR-18, University of Hawaii, Honolulu, Hawaii, September 1971.
- Walker, J. R., R. Q. Palmer, and J. K. Kukea, "Recreational Surfing on Hawaiian Reefs", <u>Proc. 13th Conf. on Coastal Engineering</u>, Vancouver, B.C., 1972.
- Walker, J. R., "Wave Transformations Over a Sloping Bottom and Over a Three Dimensional Reef", <u>Dissertation</u>, University of Hawaii, Look Lab Miscellaneous Report-75-11, May 1974.
- Whalin, R. W., "The Limit of Applicability of Linear Wave Refraction Theory in a Convergent Zone", <u>USA Corps of Engineering Water-</u> ways Experiment Station, H-71-3, 1971.
- Wiegel, R. L., and A. L. Arnold, "Model Study of Wave Refraction", <u>Beach</u> Erosion Board, TM 103, 1957.